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Journal of Liquid Chromatography & Related Technologies

Publication details, including instructions for authors and subscription information: http://www.informaworld.com/smpp/title~content=t713597273

PCA, FOLLOWED BY TWO-DIMENSIONAL NONLINEAR MAPPING AND CLUSTER ANALYSIS, VERSUS MULTILINEAR REGRESSION IN OSRR

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Online publication date: 01 November 2002

To cite this Article Jakab, Annamaria , Schubert, Gábor , Prodan, Miklos and Forgacs, Esther(2002) 'PCA, FOLLOWED BY TWO-DIMENSIONAL NONLINEAR MAPPING AND CLUSTER ANALYSIS, VERSUS MULTILINEAR REGRESSION IN QSRR', Journal of Liquid Chromatography & Related Technologies, 25: 1, 1 - 16

To link to this Article: DOI: 10.1081/JLC-100108535 URL: http://dx.doi.org/10.1081/JLC-100108535

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J. LIQ. CHROM. & REL. TECHNOL., 25(1), 1-16 (2002)

PCA, FOLLOWED BY TWO-DIMENSIONAL NONLINEAR MAPPING AND CLUSTER ANALYSIS, VERSUS MULTILINEAR REGRESSION IN QSRR

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ABSTRACT

Retention parameters of 45 different barbituric acid derivatives were determined on an amide embedded RP silica column (Discovery RP-AmideC16) using non-buffered tetrahydrofuran-water mixtures as eluents. Linear correlations were calculated between the $\lg k$ values and the tetrahydrofuran concentration in the eluent. Chromatographic parameters of barbituric acid derivatives were correlated with different conventional and quantum chemical structural descriptors in QSRR study (the different parameters were: intercept ($\lg k_0$) and slope

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(b) values of the linear, the combined retention parameter $(\lg k_0/b)$, asymmetry factor (AF) and theoretical plate values (N)). Multilinear regression analysis (SRA) and principal component analysis (PCA), followed by two dimensional nonlinear mapping and cluster analysis techniques, were used to determine the retention behavior of barbituric acid derivatives. Different mathematical-statistical methods indicated that the hydrophobic characteristics of the solutes have marked influence on the retention behavior of barbituric acid derivatives on this amide embedded RP silica column in tetrahydrofuran-water eluent systems. The significant effect of the hydrophobic characteristics of the analytes in the retention behavior indicated that the effects of the interaction between the analytes and the residual silica silanol groups are negligible.

INTRODUCTION

Multivariate mathematical-statistical techniques have been extensively applied to interpret complex data matrices in different fields, such as characterization of chromatographic systems in QSRR (Quantitative Structure-Retention Relationship) studies, (1–3) correlating the molecular structure with different activity in QSAR (Quantitative Structure-Activity Relationship) studies, (4,5) characterization of catalyzations, (6) analysis of complex spectra in spectroscopy, (7) etc. The modern multivariate mathematical-statistical methods, like stepwise regression analysis (SRA) or principal component analysis (PCA), make possible the simultaneous assessment of a practically unlimited number of variables in a reasonably short computing time.

SRA is a common multilinear regression analysis. In SRA, the presence of independent variables, which exert no significant influence on the dependent variable, lessens the significance level of those independent variables, which do significantly influence the dependent variables. To overcome this difficulty, the SRA automatically eliminates from the selected equation the insignificant independent variables.

PCA has been developed to find the relationships between the variables of any data matrices without any of them being the dependent variable. (8,9) The main advantage of PCA in chromatography are (i) the clustering of variables according to their relationship, for example, clustering chromatographic systems or solutes according to their retention behavior, (ii) the possibility of the extraction of one or more background variables having concrete physicochemical meaning for the theory and practice of chromatography. PCA in combination with visualization methods, such as two-dimensional nonlinear



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mapping (nl mapping)(10) or cluster analysis (11) considerably facilitates the evaluation. Theoretically, cluster analysis and nonlinear mapping techniques are similar: both methods calculate and visualize the relative distances between the members of the data matrix, however, the mode of calculation is different. Twodimensional nonlinear mapping and cluster analysis can be carried out on both the correlation and the covariance matrix of the original data set.

QSRR is based on the correlation between the chromatographic parameters and the different descriptors of analytes. For understanding the retention mechanisms in HPLC, three main types of methods have been established. In the beginning, (i) correlating the logarithms of the capacity factor extrapolated to 100% water concentration ($\log k_0$ or $\lg k_0$) with the logarithms of *n*-octanol-water partition coefficients ($\log P$ or $\lg P$) has been generally applied. (12,13) Further on, (ii) correlating the $\log k_0$ with different conventional structural descriptors has also been reported. (14,15) Conventional structural descriptors were used to describe the different characteristics of the molecules by Hanch and Leo, (16) Abraham et al., (17) and Corr and Galvez at al. (18)

Recently, (iii) correlating the $\log k_0$ values with quantum chemical descriptors have been extensively applied. (19,20) In the recent studies, quantum chemical descriptors have been employed alone, or in combination, with the above mentioned descriptors. (21–25)

Columns with embedded amide groups were first introduced by Supelco, who recently produced a version of the original phase based on pure octadecyl coated silica. (26) Internal polar groups, (27) such as amide (28) or carbamate (29) groups can react with the residual silica silanols through electrostatic or hydrogen bonding interaction, resulting in weakening the interaction between the polar analytes and the silanol groups. Such embedded columns have an excellent resolution of polar compound and different elution profiles compared to C18 phases.

The aim of our work was to determine the retention behavior of barbituric acid derivatives on an amide embedded RP silica column in tetrahydrofuran-water eluent systems, to elucidate the relationship between the retention characteristics and physicochemical descriptors using SRA and PCA followed by twodimensional nonlinear, modified nonlinear mapping and cluster analysis techniques, and to compare these different mathematical-statistical methods in this QSRR study.

EXPERIMENTAL

RP silica column with embedded amide groups (Discovery RP-AmideC16, $125 \times 4.6 \text{ mm}$ I.D., particle size 5 µm) was purchased from Supelco (Bellafonte,



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U.S.A.). The HPLC system consisted of a Waters LC Module I and Waters 746 integrator (Waters, Milford, MA, U.S.A.). The flow rate was $0.8 \text{ mL} \cdot \text{min}^{-1}$ and the detection wavelength was set to 240 nm. Mixtures of non-buffered tetrahydrofuran-water were used as eluents, tetrahydrofuran concentration ranged from 35 to 55 v/v% (minimum at 5 different concentrations). The shape of the peaks was sufficient for not buffering the eluent. The experiments were carried out at room temperature (21–23°C). The barbituric acid derivatives (Figure 1) were dissolved in methanol at the concentration of $0.1 \text{ mg} \cdot \text{mL}^{-1}$. The retention time, asymmetric factor (*AF*), and the theoretical plate values (*N*) of each compound in each eluent were determined in triplicate. The asymmetry factors (*AF*) were calculated according to AF = B/A, where *A* and *B* can be measured by drawing a perpendicular line from the apex of the peak at 5% height. The number of the theoretical plates were calculated according to $N = 16(t_r/w)$ formula, where t_r is the retention time and *w* is the band width measured at the baseline.

Linear correlation was used to describe the dependence of the $\lg k$ value on the concentration of tetrahydrofuran.

$$\lg k = \lg k_0 + b \cdot C \tag{1}$$

where $\lg k$ is the logarithm of the capacity factor; *C* is the tetrahydrofuran concentration in the eluent (vol%). $\lg k_0$ and *b* are constants to be determined by the least square method. The $\lg k_0$ is the logarithm of the capacity factor extrapolated to zero concentration of the organic component in the mobile phase (related to molar lipophilicity), (30) and *b* is the change of the $\lg k$ value caused by a unit change (1% vol.) in the organic mobile phase concentration (related to the specific hydrophobic surface area in contract with support). (31)

To find the physico-chemical parameters of the barbituric acid derivatives, which significantly influence their retention parameters ($\lg k_0$, *b*, *AF*, *N*, $\lg k_0/b$), (32) SRA was used. The dependent variables were, separately, $\lg k_0$, *b*, $\lg k_0/b$ and the average value of *AF* and *N* of each barbituric acid derivative and the independent variables were the structural descriptors of the barbituric acid derivatives. The acceptance level for the individual independent variables was set to 95% significance level. The list of both the conventional and quantum chemical structural descriptors, considered in this study, is given in Table 1. The quantum chemical descriptors of the barbiturates were calculated using the Gaussian 94 (33) software package.

The calculations were carried out on the triketo forms of the barbiturates in every case. First, geometry optimization was done using the AM1 semi-empirical method. (34) The starting geometries were predicted by using the usual bond length for organic molecules. The barbiturate ring was assumed to be planar. Single point energy and molar volume calculations were carried out on the optimized geometries at the Hartree-Fock/3-21G level of theory. From the results

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No.	R1	R2	R3	R4	Х
1	Н	Н	Н	Н	0
2	methyl	methyl	Н	H	0
3	3-penthyl	methyl	Н	Н	0
4	methyl	1-methylpenthyl	Н	Н	0
5	ethyl	ethyl	Н	Н	0
6	ethyl	1-methylbutyl	Н	Н	0
7	ethyl	3-methylbutyl	Н	Н	0
8	ethyl	1-methylpropyl	Н	Н	0
9	ethyl	n-penthyl	Н	Н	0
10	buthyl	1-methylpropyl	Н	Н	0
11	buthyl	1-methylbutyl	Н	Н	0
12	buthyl	3-methylbutyl	Н	Н	0
13	ethyl	n-octyl	Н	Н	0
14	ethyl	3-dimethyloctyl	Н	Н	0
15	allyl	<i>i</i> -propyl	Н	Н	0
16	allyl	<i>i</i> -butyl	Н	Н	0
17	allyl	1-methylbutyl	Н	Н	0
18	methyl	cyclohexenyl	methyl	Н	0
19	allyl	cyclopentenyl	Н	Н	0
20	ethyl	1-cyclohexenyl	Н	Н	0
21	ethyl	ethyl	Н	Н	S
22	ethyl	1-methylbutyl	Н	Н	S
23	allyl	1-methylbutyl	Н	Н	S
24	ethyl	1,3-dimethylbutyl	Н	Н	0
25	ethyl	phenyl	Н	Н	0
26	ethyl	ethyl	phenyl	Н	0
27	ethyl	ethyl	benzoyl	Н	0
28	ethyl	ethyl	benzoyl	benzoyl	0
29	ethyl	ethyl	p-Cl-benzoyl	Н	0
30	ethyl	ethyl	p-NO ₂ -benzoyl	Н	0
31	ethyl	phenyl	p-NO ₂ -benzoyl	p-NO ₂ -benzoyl	0
32	ethyl	phenyl	phenyl	Н	0
33	ethyl	phenyl	benzoyl	methyl	0
34	ethyl	phenyl	p-NH ₂ -benzoyl	methyl	0
35	ethyl	phenyl	o-NO2-benzoyl	methyl	0
36	ethyl	phenyl	p-NO2-benzoyl	methyl	0
37	ethyl	phenyl	m-NO2-benzoyl	methyl	0
38	ethyl	ethyl	p-NO ₂ -benzoyl	methyl	0
39	ethyl	ethyl	benzoyl	methyl	0
40	methyl	phenyl	benzoyl	Н	0
41	methyl	phenyl	benzoyl	methyl	0
42	ethyl	phenyl	benzoyl	Н	0
43	ethyl	methyl	Н	Н	0
44	ethyl	propyl	Н	Н	0
45	methyl	methyl	methyl	Н	0

Figure 1. Chemical structures of barbituric acid derivatives. Barbituric acid derivatives were synthesized by Professor J. Bojarski Academy of Medicine, Krakow, Poland and coworkers.



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Table 1. List of the Molecular Structural Descriptor Used in SRA and PCA Studies

	Molecular Structural Descriptors
Conventional D	Descriptors
π	Hansch-Fujita's constant characterizing hydrophobicity
H-Ac	Indicator variable for proton acceptor properties
H-Do	Indicator variable for proton and donor properties
M-Re	Molar refractivity
F	Electronic parameter characterizing the inductive effect
R	Electronic parameters characterizing the resonance effects
σ	Hammett's constant, characterizing the electron withdrawing power
Es	Taft's constant, characterizing steric effects
B_1 and B_4	Sterimol's width parameters determined by distance of the molecule at their maximum point perpendicular to attachment
Quantum Chem	nical Descriptors
ET	Total energies

E _T	Total energies
Vol	Molar volumes
μ	Dipole moments

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of this second series of calculations total energies (E_T) , molar volumes (Vol), and dipole moments (μ) were obtained for each of the different barbiturates.

PCA was used to find the similarities and dissimilarities between the chromatographic parameters and peak characteristics of barbituric acid derivatives. The $\lg k_0$, b, AF, N, and $\lg k_0/b$ values were considered as variables and the barbituric acid derivatives were the observations. PCA reduces the dimensionality of the original data matrices; the resulting matrices of PC loadings and variables are sometimes even multidimensional. As the capacity of human brain to evaluate data distributed in multidimensional space is limited, the dimensions of the matrices of PC loadings and variables can be reduced to two by nonlinear mapping and cluster analysis techniques. Both traditional nonlinear mappings take into consideration the positive and negative signs of the correlations by constructing the corresponding maps. Necessarily, the variables with strong negative correlation are far from each other on the map. Theoretically, this discrepancy can be avoided by using only the absolute values for the constructing of the map. (17)

The two-dimensional nonlinear maps of principal component (PC), variables, original, and absolute value of PC loadings were calculated. Cluster analysis was carried out, also, on the original and the absolute value of PC loadings.

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RESULTS AND DISCUSSION

The slope (b) and the intercept $(\lg k_0)$ values of the barbituric acid derivatives were found different in most cases, indicating the appropriate separation of the barbituric acid derivatives on this column in tetrahydrofuranwater eluent system (Table 2). The standard deviations are low in each instance, indicating the good reproducibility of the retention time and the stability of the amide embedded RP silica column. The correlation coefficients are above 0.9900 in most cases (Table 2) confirming the applicability of the Equation 1.

Stepwise regression analysis found significant relationship between the chromatographic parameters and the structural descriptors of the barbituric acid derivatives (Table 3). The results indicate that the hydrophobicity (π) has great influence on the retention, and the effect of the steric and the proton aceptor or donor properties is negligible. The lg k_0 , lg k_0/b , and b values can be explained using only the hydrophobicity (π) parameter of the barbituric acid derivatives. In the equations of the N and AF chromatographic parameters, electronic parameters are also included (σ , μ and R), indicating that these phisico-chemical parameters also have influence on the N and AF values.

The selected structural descriptors included in the equations also account for a relatively high ratio of change in these parameters: the r values 0.6479 and 0.6181, respectively. Although, it can be seen from the r values, that these descriptors can not properly explain the change of the chromatographic parameters, this indicates that other structural descriptors, not included in the SRA calculations, may have no negligible impact on the $\lg k_0$, $\lg k_0/b$, b, N, and AF values.

Four principal components explain the majority of variance, indicating that the eighteen original variables can be substituted by four background variables with only 14.83% loss of information (Table 4). Retention parameters, together with the polarity parameters, have loadings in the first PC, indicating the marked influence of these physico-chemical parameters on the retention. Unfortunately, PCA does not prove the existence of such background variables as concrete physico-chemical entities, it only indicates their mathematical possibility.

Two-dimensional nonlinear maps calculated from the original PC loadings and the absolute value of the PC loadings, are shown in Figure 2A and 2B. Maps show marked differences in the distributions of the variables, indicating the considerable impact of the modifications of the calculation mode. The lg k_0 value, together with N and AF values, form a cluster with the hydrophobicity (π) parameter and this cluster is far away from the b, and the lg k_0/b values on the map calculated from the original PC loadings. However, the data in Table 4 clearly show significant relationship between the lg k_0 , N, AF, and the b, lg k_0/b values, but with an opposite sign. This finding supports our previous theoretical conclusions that the information contained in the two-dimensional nonlinear map

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No.	$\lg k_0 \times 10^2$	$S(\lg k_0) \times 10^3$	$b \times 10^2$	$S(b) \times 10^3$	r ²
1			not significant		
2	-18.53	8.11	-0.45	0.19	0.9983
3	280.10	9.69	-4.59	0.30	0.9978
4	214.51	4.00	-3.73	0.89	0.9994
5	118.11	10.20	-2.96	0.59	0.9515
6	194.05	30.20	-3.51	0.67	0.9996
7	184.98	12.76	-3.38	0.28	0.9999
8	130.35	10.03	-2.41	2.22	0.9916
9	183.28	23.39	-3.35	0.52	0.9998
10	261.13	21.82	-4.50	0.48	0.9999
11	259.78	26.93	-4.46	0.60	0.9998
12	287.79	5.55	-4.98	0.12	0.9994
13	289.47	12.84	-4.79	2.84	0.9965
14	272.74	12.13	-4.55	2.68	0.9965
15	125.12	29.20	-2.49	0.65	0.9993
16	164.45	42.45	-3.06	0.94	0.9991
17			not significant		
18	136.75	31.72	-2.62	0.70	0.9993
19	157.14	51.05	-3.00	1.13	0.9986
20	143.00	6.10	-2.77	0.13	0.9976
21	255.83	40.29	-4.96	0.89	0.9687
22	286.94	13.18	-4.67	0.29	1.0000
23	306.55	15.46	-4.94	0.34	1.0000
24	216.06	10.52	-3.82	0.23	1.0000
25	136.01	5.13	-2.75	0.11	0.9983
26	351.23	56.47	-6.96	1.40	0.9609
27	198.47	33.43	-3.67	0.74	0.9996
28	137.51	10.82	-2.69	0.24	0.9921
29	270.41	10.87	-4.52	0.24	0.9972
30			not significant		
31			not significant		
32			not significant		
33	304.18	16.11	-5.40	0.36	0.9957
34	231.51	65.80	-4.38	1.46	0.9989
35	278.88	12.28	-4.88	0.03	0.9969
36	162.71	10.35	-3.09	2.29	0.9946
37	234.67	14.80	-4.25	0.33	0.9941
38	258.88	21.22	-4.26	0.47	0.9880
39	210.29	48.20	-3.74	1.07	0.9992
40	155.32	14.77	-3.04	0.33	0.9886
41	277.98	20.78	-4.91	0.49	0.9900
42	138.62	47.75	-2.69	1.09	0.9984
43	25.62	3.16	-1.11	0.07	0.9960
44	74.67	6.22	-1.80	0.14	0.9942
45	9.12	20.52	-0.82	0.45	0.9969

Table 2. Parameters of Linear Regression Between the Logarithm of Capacity Factor and Tertahyrdofuran Concentration (C) in the Eluent; $\lg k = \lg k_0 + b \cdot C$

 $S(\lg k_0)$ and S(b) are the standard deviations of the $\lg k_0$ and b values, respectively.





Table 3. Relationship Between the Structural Descriptors of Barbituric Acid Derivatives and Their Retention Behavior: Results of Stepwise Regression Analysis

		Parameters ²											
y^1	а	b_1	x_1	$b_1[\%]$	b_2	x_2	$b_2[\%]$	b_3	<i>x</i> ₃	$b_3[\%]$	r _{99.9%}	F_{calc}	n
$\lg k_0$	-0.249	0.590	π	100	_	_	_	_	_	_	0.7150	_	40
b	-0.013	0.005	π	100	-	_	-	_	_	_	0.6489	_	40
$\lg k_0/b$	-7.386	-11.43	π	100	_	_	_	_	_	_	0.7052	_	40
Ν	316	166	π	26.7	-537	σ	30.5	162	μ	42.8	_	6.6	40
AF	0.673	0.291	π	60	-1.027	R	40	-	-	-	-	8.23	40

 $y = a + b_1 x_1 + b_2 x_2 + b_3 x_3.$

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²a = Intercept; b_1 , b_2 and b_3 = Regression coefficients; $b_1[\%]$, $b_2[\%]$ and $b_3[\%]$ = Path coefficients (dimensionless numbers indicating the relative impact of the individual independent variables on the dependent variable); $r_{99.9\%}$ = Coefficient of determination (indicates the ratio of variance explained by the independent variables); F = Calculated value of the Fisher significance test; n = Number of the barbituric acid derivatives were included in calculation.

may be misleading when both negative and positive correlation occur between the variables.

The distributions of the variables on the map 2B, calculated from the absolute values of the PC loadings (Figure 2B), correspond to the data in Table 4. This distribution shows that the all chromatographic parameters ($\lg k_0, b, \lg k_0/b$, N, AF) and the hydrophobicity parameter (π) form a separate cluster, while the other parameters are isolated from this cluster. The cluster analysis support the conclusion drawn from the distribution of the variables on the two-dimensional nonlinear maps, both for calculation from the original and the absolute values of the PC loadings (Figure 3A, 3B). Cluster dendogram calculated from the original PC loadings (Figure 3A) shows that the π , lg k_0 , N, and AF values form a separate cluster, while cluster dendogram calculated from the absolute values of PC loadings (Figure 3B) shows that $\lg k_0$, b, π , $\lg k_0/b$, N, and AF values form a separate cluster. Few clusters can be seen on the two-dimensional nonlinear maps calculated from the PC variables (Figure 4). Barbituric acid derivatives substituted with nitro-benzoyl groups (compounds 35-38), substituted with small, short-chain groups (compounds 2, 5, 43-45), and substituted with sulfur (compounds 21–23) form separate clusters. The cluster formation suggests that the ring structure of the solutes somewhat influences the retention behavior, probably having different interactions with the support.

It can be concluded that the barbituric acid derivatives can be well separated on embedded RP silica column using terahydrofuran-water eluent systems. Stepwise regression analysis and principal component analysis, followed by two-



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Table 4. Similarities and Dissimilarities Between the Molecular Descriptors and Retention Characteristics of Barbituric Acid Derivatives on Discovery RPAmidC16 Column in Tetrahydrofuran-Water Eluent

No. of Principal Component	Eigenvalue	Variance Explained (%)	Total Variance Explained (%)
1.	9.566	53.14	53.14
2.	3.339	18.55	71.69
3.	1.462	8.12	79.81
4.	0.965	5.36	85.17

Principal	Component	Loadings
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		No. of Principal Component		
Parameter	1.	2.	3.	4.
$\lg k_0$	0.581	-0.716	0.093	-0.225
B	$-\overline{0.553}$	0.683	-0.088	0.261
AF ₅	0.405	-0.462	-0.513	0.149
N	0.476	-0.494	$-\overline{0.121}$	0.124
$\lg k_0/b$	-0.534	0.609	-0.131	0.275
π	0.558	0.682	0.039	0.064
H-Ac	0.843	0.471	-0.115	0.083
H-Do	0.161	-0.306	0.518	0.740
M-Re	0.967	0.039	$-\overline{0.070}$	0.044
F	0.807	0.519	0.014	-0.160
R	0.234	0.088	0.886	-0.159
σ	0.792	0.520	0.133	-0.202
Es	$-\overline{0.937}$	-0.246	0.036	-0.094
B_1	0.924	0.125	-0.045	0.230
B_4	0.944	-0.002	-0.122	0.128
ET	$-\overline{0.939}$	-0.125	-0.163	0.038
Vol	0.876	-0.051	-0.113	-0.042
μ	0.815	0.330	-0.044	0.017

Results of principal component analysis calculated from the correlation matrix.

dimensional nonlinear mapping and cluster analysis calculated from the absolute values of the principal component loading, lead to the same results; that the hydrophobic characteristics of the solutes have the marked influence on the retention behavior of barbituric acid derivatives on this column in terahydrofuranwater eluent systems. This indicates that the effect of residual silanol groups is negligible, probably because the embedded amide groups react and cover the majority of the residual silanol groups. Use of the absolute values of principal

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Figure 2. Relationship between the structural descriptors of barbituric acid derivatives and their retention behavior. Two-dimensional nonlinear maps, 2A: calculated from the original PC loadings (number of iterations: 253, maximum error: $4.39 \cdot 10^{-2}$) and 2B: calculated from the absolute values of PC loadings (number of iterations: 142, maximum error: $3.16 \cdot 10^{-2}$). For symbols, see Table 1 and the experimental section.



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Figure 3. Relationship between the structural descriptors of barbituric acid derivatives and their retention behavior. Cluster dendograms, 3A: calculated from the original PC loadings and 3/B: calculated from the absolute values of PC loadings. For symbols, see Table 1 and the experimental section.



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Figure 4. Distribution of barbituric acid derivatives according to their retention behavior. Two-dimensional nonlinear map of principal component variables calculated form the original correlation matrices (number of iterations: 100, maximum error: $3.05 \cdot 10^{-2}$). For symbols, see Figure 1.

component loadings for the calculations of two-dimensional nonlinear maps and cluster dendograms, prevents the occurrence of error originated from the different signs of the variables.

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Received July 20, 2001 Accepted August 15, 2001 Manuscript 5625

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